


Sheet 1 of 1

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED MAR 2002) PATENT AND TRADEMARK OFFICE		Attorney Docket No. 50026/031001				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Serial No. 09/980,420				
		Applicant Toshihiro Nakajima et al.				
		Filing Date November 30, 2001				
		Group 1636				
(37 C.F.R. §1.98(b))		IDS Filed February 25, 2002				
U.S. PATENTS						
Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION						
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
MS	WO 97/12622	Apr. 10, 1997	WIPO			
MS	WO 99/31251	June 24, 1999	WIPO			
MS	WO 00/29421	May 25, 2000	WIPO			
MS	WO 00/29557	May 25, 2000	WIPO			
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)						
MS	Dull <i>et al.</i> , "A Third-Generation Lentivirus Vector with a Conditional Packaging System," <i>J. Virol.</i> , 72:8463-8471 (1998).					
MS	Zufferey <i>et al.</i> , "Self-Inactivating Lentivirus Vector for Safe and Efficient <i>in vivo</i> Gene Delivery," <i>J. Virol.</i> , 72:9873-9880 (1998).					
EXAMINER <i>Michael R. J.</i>				DATE CONSIDERED <i>9-30-01</i>		
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.						

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)  (37 C.F.R. §1.98(b))		Attorney Docket No. 50026/031001 Serial No. 09/980,420 Applicant Toshihiro Nakajima et al. Filing Date November 30, 2001 Group 1636 IDS Filed March 6, 2002				
U.S. PATENTS						
Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION						
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)						
MB	Farson <i>et al.</i> , "A New-Generation Stable Inducible Packaging Cell Line for Lentiviral Vectors," <i>Human Gene Therapy</i> 12:981-997 (2001).					
MB	Nakajima <i>et al.</i> , "Development of Novel Simian Immunodeficiency Virus Vectors Carrying a Dual Gene Expression System," <i>Human Gene Therapy</i> , 11:1863-1874 (2000).					
MB	Rappa <i>et al.</i> , "Novel Bicistronic Retroviral Vector Expressing $\gamma$ -Glutamylcysteine Synthetase and the Multidrug Resistance Protein 1 (MRP1) Protects Cells from MRP1-Effluxed Drugs and Alkylating Agents," <i>Human Gene Therapy</i> 12:1785-1796 (2001).					
EXAMINER				DATE CONSIDERED		
				9-30-04		
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Sheet 1 of 1SUBSTITUTE FORM PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Attorney Docket No.

50026/031001

Serial No.

09/980,420

Applicant

Nakajima et al.

Filing Date

June 5, 2002

Group

1636

IDS Filed

January 15, 2004

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

## U.S. PATENTS

Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
	<del>JP 2002-530057 A</del>	<del>September 17, 2002</del>	<del>JPO</del>			No
	<del>JP 2002-530070 A</del>	<del>September 17, 2002</del>	<del>JPO</del>			No
	<del>JP 2002-508184 A</del>	<del>March 19, 2002</del>	<del>JPO</del>			No
	<del>WO 00/29421 A1</del>	<del>May 25, 2000</del>	<del>WIPO</del>			NO
	<del>WO 00/29557 A1</del>	<del>May 25, 2000</del>	<del>WIPO</del>			NO
	<del>WO 99/31251 A1</del>	<del>June 24, 1999</del>	<del>WIPO</del>			NO

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)


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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Serial No.	09/980,420
		Applicant	Nakajima et al.
		Filing Date	June 5, 2002
		Group	1636
		IDS Filed	JULY 12, 2004
(37 C.F.R. § 1.98(b))			

## U.S. PATENTS

Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)
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## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
	<del>AU 709659 B2</del>	<del>September 2, 1999</del>	<del>Australia</del>			<del>NO</del>
	<del>AU 747609 B2</del>	<del>May 16, 2002</del>	<del>Australia</del>			
	<del>AU 750110 B2</del>	<del>July 11, 2002</del>	<del>Australia</del>			
	<del>AU 9175698 A</del>	<del>April 12, 1999</del>	<del>Australia</del>			
	<del>AU 9356208 A</del>	<del>April 12, 1999</del>	<del>Australia</del>			
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	<del>CN 1279723 T</del>	<del>January 10, 2001</del>	<del>China</del>			
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	<del>EP 1017037 A1</del>	<del>July 12, 2000</del>	<del>EPO</del>			

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)

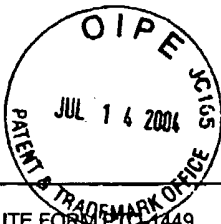
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4-30-04

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(37 C.F.R. § 1.98(b))				IDS Filed JULY 12, 2004		
U.S. PATENTS						
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION						
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
	EP 1017838 A2	July 12, 2000	EPO			NO
	<del>GB 2344592 A, B</del>	<del>June 14, 2000</del>	<del>United Kingdom</del>			
	<del>GB 2345063 A, B</del>	<del>June 28, 2000</del>	<del>United Kingdom</del>			
	JP 9-511146 T	<del>November 11, 1997</del>	<del>Japan</del>			NO
	<del>JP 2001-517452 T</del>	<del>October 9, 2001</del>	<del>Japan</del>			
	<del>JP 2001-517453 T</del>	<del>October 9, 2001</del>	<del>Japan</del>			
	<del>NO 20001486 A</del>	<del>May 25, 2000</del>	<del>Norway</del>			
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	<del>NZ 503317 A</del>	<del>May 31, 2002</del>	<del>New Zealand</del>			
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	<del>WO 99/15684 A2</del>	<del>April 1, 1999</del>	<del>WIPO</del>			
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MB	Barksdale and Baker, "The Human Immunodeficiency Virus Type 1 Rev Protein and the Rev-Responsive Element Counteract the Effect of an Inhibitory 5' Splice Site in a 3' Untranslated Region," <i>Mol. Cell. Biol.</i> , 15(6):2962-2971, 1995.					
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MB	Dougherty and Temin, "High Mutation Rate of a Spleen Necrosis Virus-Based Retrovirus Vector," <i>Mol. Cell. Biol.</i> , 168(3):4387-4395, 1986.					
EXAMINER <i>Melp Blera</i>			DATE CONSIDERED <i>9-30-04</i>			
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				IDS Filed JULY 12, 2004		
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Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)						
MR	Korman et al., "Expression of Human Class II Major Histocompatibility Complex Antigens Using Retrovirus Vectors," <i>Proc. Natl. Acad. Sci. USA</i> , 84(8):2150-2154, 1987.					
MR	Naldini et al., "In Vivo Gene Delivery and Stable Transduction of Nondividing Cells by a Lentiviral Vector," <i>Science</i> , 272(5259):263-267, 1996.					
EXAMINER	M. L. F. R.			DATE CONSIDERED 9-30-04		
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